



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lexun Xue et al.
Serial No.: 09/997,445
Filed: November 29, 2001
Group Art Unit: TBA
Examiner: TBA

#7

For: TRANSGENIC DUNALIELLA SALINA AS A BIOREACTOR
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) _____, filed _____, respectively.

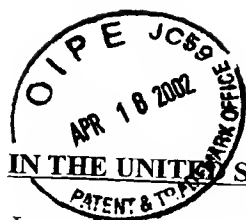
- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
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- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2896-4005. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 18, 2002

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Docket No. 2896-4005

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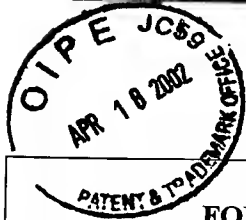
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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:
2896-4005Serial No.:
09/997,445Applicant:
Lexun Xue et al.Filing Date:
November 29, 2001Group Art Unit:
TBA

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	5,612,487	3/18/97	Lam et al.	800	205	3/4/93
	6,000,551	12/14/99	Kanel et al.	209	164	12/20/96
	6,194,560	2/27/01	Arntzen et al.	536	23.7	11/12/98
	6,307,123	10/23/01	Kriz et al.	800	282	5/18/98
	6,358,507	3/19/02	Kaplan et al.	424	93.2	11/12/98

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

	Mason, H.S. et al., <u>Proc. Natl. Acad. Sci. USA</u> , 1992, 89:11745-11749
	Thanavala, Y. et al., <u>Proc. Natl. Acad. Sci. USA</u> , 1995, 92:3358-3361
	Kong, Q et al., <u>Proc. Natl. Acad. Sci. USA</u> , 2001, 98:11539-11544
	Fisher, M. et al., <u>J. Biol. Chem.</u> , 1997, 272:1565-1570
	Schroda, M. et al., <u>The Plant Journal</u> , 21(2):121-January 2000

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.
Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.